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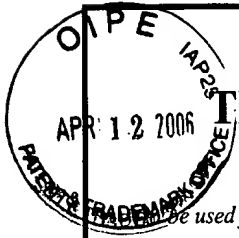
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15M 1642 \$

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

Be used for all correspondence after initial filing)

|   |  |  |               |
|---|--|--|---------------|
| <b>Application Number</b><br>09/403,440         |  |  |               |
|   |  | <b>Filing Date</b><br>January 19, 2000           |               |
|   |  | <b>First Named Inventor</b><br>David Philip Lane |               |
|   |  | <b>Group/Art Unit</b><br>1642                    |               |
|   |  | <b>Examiner Name</b><br>Davis, Minh Tam B.       |               |
| <b>Total Number of Pages in This Submission</b> |  | <b>Attorney Docket Number</b>                    | 39766-0001APC |

## ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b><br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> Exhibits<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input checked="" type="checkbox"/> <b>Information Disclosure Statement w/1 Reference</b><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Status Claimed<br><input type="checkbox"/> Statement under 3.73(b) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><b>RETURN STAMPED POSTCARD</b> |
| <b>Remarks</b><br><br><b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 (ATTORNEY DOCKET NO.: 39749-0001APC) FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.</b>  |   |  |

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

|                                |   |                         |       |
|--------------------------------|---|-------------------------|-------|
| <b>Firm or Individual name</b> | Ginger R. Dreger, Reg. No.33,055, HELLER EHRMAN LLP |                         |       |
| <b>Signature</b>               |   |                         |       |
| <b>Date</b>                    | April 12, 2006                                      | <b>Customer Number:</b> | 25213 |

## CERTIFICATE OF EXPRESS MAILING

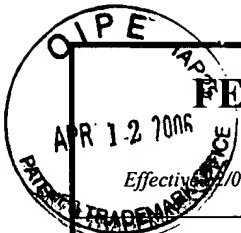
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop: AMENDMENT; Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 12, 2006.

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|                              |              |             |                |
|------------------------------|--------------|-------------|----------------|
| <b>Typed or printed name</b> | Elena Torres |             |                |
| <b>Signature</b>             |              | <b>Date</b> | April 12, 2006 |

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop \_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2005

Effective 01/08/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

## Complete if Known

Application Number 09/403,440  
Filing Date January 19, 2000  
First Named Inventor David Philip Lane  
Examiner Name Davis, Minh Tam B.  
Art Unit 1642  
Attorney Docket No. 39749-0001APC

## METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 08-1641 (Docket No. 39749-0001APC)

Deposit Account Name Heller Ehrman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001           | 300             | 2001           | 150             | Utility filing fee     |          |
| 1002           | 350             | 2002           | 175             | Design filing fee      |          |
| 1003           | 550             | 2003           | 275             | Plant filing fee       |          |
| 1004           | 790             | 2004           | 395             | Reissue filing fee     |          |
| 1005           | 200             | 2005           | 100             | Provisional filing fee |          |

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** =      | x              | = 0      |
| Multiple Dependent | -3** =       | x              | = 0      |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 1202           | 50              | 2202           | 25              | Claims in excess of 20                                    |
| 1201           | 200             | 2201           | 100             | Independent claims in excess of 3                         |
| 1203           | 360             | 2203           | 180             | Multiple dependent claim, if not paid                     |
| 1204           | 200             | 2204           | 100             | **Reissue independent claims over original patent         |
| 1205           | 50              | 2205           | 25              | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051           | 130             | 2051           | 65              | Surcharge - late filing fee or oath  |          |
| 1052           | 50              | 2052           | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053           | 130             | 1053           | 130             | Non-English specification  |          |
| 1812           | 2,520           | 1812           | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804           | 920*            | 1804           | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 1805           | 1,840*          | 1805           | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 1251           | 120             | 2251           | 60              | Extension for reply within first month                                     |          |
| 1252           | 450             | 2252           | 225             | Extension for reply within second month                                    |          |
| 1253           | 1,020           | 2253           | 510             | Extension for reply within third month                                     |          |
| 1254           | 1,590           | 2254           | 795             | Extension for reply within fourth month                                    |          |
| 1255           | 2,160           | 2255           | 1,080           | Extension for reply within fifth month                                     |          |
| 1401           | 500             | 2401           | 250             | Notice of Appeal   |          |
| 1402           | 500             | 2402           | 250             | Filing a brief in support of an appeal                                     |          |
| 1403           | 1,000           | 2403           | 500             | Request for oral hearing   |          |
| 1451           | 1,510           | 1451           | 1,510           | Petition to institute a public use proceeding                              |          |
| 1452           | 500             | 2452           | 250             | Petition to revive - unavoidable   |          |
| 1453           | 1,500           | 2453           | 750             | Petition to revive - unintentional   |          |
| 1501           | 1,400           | 2501           | 700             | Utility issue fee (or reissue)   |          |
| 1502           | 800             | 2502           | 400             | Design issue fee   |          |
| 1503           | 1,100           | 2503           | 550             | Plant issue fee  |          |
| 1460           |                 | 1460           |                 | Petitions to the Commissioner  |          |
| 1807           | 50              | 1807           | 50              | Processing fee under 37 CFR 1.17(q)  |          |
| 1806           | 180             | 1806           | 180             | Submission of Information Disclosure Stmt                                  | 180      |
| 8021           | 40              | 8021           | 40              | Recording each patent assignment per property (times number of properties) |          |
| 1809           | 790             | 2809           | 395             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810           | 790             | 2810           | 395             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801           | 790             | 2801           | 395             | Request for Continued Examination (RCE)                                    |          |
| 1802           | 900             | 1802           | 900             | Request for expedited examination of a design application                  |          |

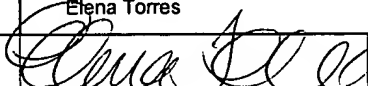
Other fee (specify)

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$180.00)

## SUBMITTED BY

| Name (Print/Type) | Registration No. (Attorney/Agent) | Telephone          |
|-------------------|-----------------------------------|--------------------|
| Ginger R. Dreger  | 33,055                            | 650-324-7000       |
| Signature         | Date April 12, 2006               | Customer No. 25213 |

## Complete (if applicable)

| CERTIFICATE OF EXPRESS MAILING  |   |      |                |
|---|---|------|----------------|
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| Express Mail Label: EV 765 972 358 US   |   |      |                |
| Typed or print name   | Elena Torres  |      |                |
| Signature   |  | Date | April 12, 2006 |



PATENT

Attorney Docket No.: 39749-0001APC

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT APPLICATION**

Inventor(s): David Philip Lane )

Application No.: 09/403,440 )

Filed: January 19, 2000 )

Title: MATERIALS AND METHODS RELATING TO )  
INHIBITING THE INTERACTION OF p53 AND )  
Mdm2 )

Art Unit: 1642

Examiner: Davis, Minh Tam B.

**INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 C.F.R. §1.97**

Mail Stop: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each cited pending unpublished U.S. application, and all additional publications other than U.S. patents and U.S. patent application publications. 37 C.F.R. §§1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

04/17/2006 AWONDAF1 00000030 081641 09403440

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- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
  - ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
  - ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
  - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
  - ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
  - B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
  - C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No.: 39749-0001APC).

Respectfully submitted,

HELLER EHRMAN LLP

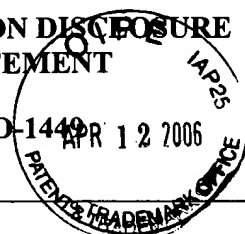
Dated: April 12, 2006

By: 

Ginger R. Dreger

Reg. No.: 33,055

HELLER EHRMAN LLP  
275 Middlefield Road  
Menlo Park, CA 94025-3506  
Telephone: 1.650.324.7115  
Facsimile: 1.650.324.0638  
Customer No.: 25213

|   |  |                              |         |             |          |                          |                          |
|---|--|------------------------------|---------|-------------|----------|--------------------------|--------------------------|
| <b>INFORMATION DISCLOSURE STATEMENT</b><br><br><b>PTO-1449</b><br><b>APR 12 2006</b> |  | ATTY. DOCKET NO.             |         | SERIAL NO.  |          |                          |                          |
|   |  | 39749-0001APC                |         | 09/403,440  |          |                          |                          |
|   |  | APPLICANT: David Philip Lane |         |             |          |                          |                          |
|   |  | FILING DATE: 01/19/2000      |         | GROUP: 1642 |          |                          |                          |
| <b>U.S. PATENT DOCUMENTS</b>  |  |                              |         |             |          |                          |                          |
| EXAMINER'S INITIALS   | PATENT NO.   | DATE                         | NAME    | CLASS       | SUBCLASS | FILING DATE              |                          |
|   |  |                              |         |             |          |                          |                          |
|   |  |                              |         |             |          |                          |                          |
|   |  |                              |         |             |          |                          |                          |
| <b>FOREIGN PATENT DOCUMENTS</b>   |  |                              |         |             |          |                          |                          |
| EXAMINER'S INITIALS   | PATENT NO.   | DATE                         | COUNTRY | CLASS       | SUBCLASS | TRANSLATION              |                          |
|   |  |                              |         |             |          | YES                      | NO                       |
|   |  |                              |         |             |          | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>   |  |                              |         |             |          |                          |                          |
|   | Bottger, A. et al., "Design of a Synthetic Mdm2-Binding Mini Protein that Activates the p53 Response <i>in Vivo</i> ", Current Biology, vol. 7, no. 11, pp. 860-869, 1997. |                              |         |             |          |                          |                          |
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|   |  |                              |         |             |          |                          |                          |
| EXAMINER  |  | DATE CONSIDERED              |         |             |          |                          |                          |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.